



## 2024 SEC EDGAR FILING CALENDAR – DEADLINES AND HOLIDAYS

### SEC DEADLINES BASED ON A DECEMBER 31, 2023 FISCAL YEAR END:

FORM TYPE	LARGE ACCELERATED FILERS	ACCELERATED FILERS	NON-ACCELERATED FILERS
<b>Form 10-K</b> (Period ended December 31, 2023)	Thursday, February 29 (60 days from year end)	Friday, March 15 (75 days from year end)	Monday, April 1 (90 days from year end)
<b>Form NT 10-K (Form 12b-25)</b> (1 day after filing due date)	Friday, March 1	Monday, March 18	Tuesday, April 2
<b>Form 10-K</b> (with 15 day extension)	Friday, March 15	Monday, April 1	Tuesday, April 16
<b>Form 20-F</b> (Foreign Private Issuers)	Wednesday, May 1 (4 months from year end)	Wednesday, May 1 (4 months from year end)	Wednesday, May 1 (4 months from year end)
<b>Form NT 20-F</b> (1 day after filing due date)	Thursday, May 2	Thursday, May 2	Thursday, May 2
<b>Form 20-F</b> (with 15 day extension)	Thursday, May 16	Thursday, May 16	Thursday, May 16
<b>Form 10-Q</b> (Period ended March 31, 2024)	Friday, May 10 (40 days from quarter end)	Friday, May 10 (40 days from quarter end)	Wednesday, May 15 (45 days from quarter end)
<b>Form NT 10-Q (Form 12b-25)</b> (1 day after filing due date)	Monday, May 13	Monday, May 13	Thursday, May 16
<b>Form 10-Q</b> (with 5 day extension)	Wednesday, May 15	Wednesday, May 15	Monday, May 20
<b>Form 10-Q</b> (Period ended June 30, 2024)	Friday, August 9 (40 days from quarter end)	Friday, August 9 (40 days from quarter end)	Wednesday, August 14 (45 days from quarter end)
<b>Form NT 10-Q (Form 12b-25)</b> (1 day after filing due date)	Monday, August 12	Monday, August 12	Thursday, August 15
<b>Form 10-Q</b> (with 5 day extension)	Wednesday, August 14	Wednesday, August 14	Monday, August 19
<b>Form 10-Q</b> (Period ended September 30, 2024)	Tuesday, November 12 (40 days from quarter end)	Tuesday, November 12 (40 days from quarter end)	Thursday, November 14 (45 days from quarter end)
<b>Form NT 10-Q (Form 12b-25)</b> (1 day after filing due date)	Wednesday, November 13	Wednesday, November 13	Friday, November 15
<b>Form 10-Q</b> (with 5 day extension)	Monday, November 18	Monday, November 18	Wednesday, November 20

**INVESTMENT MANAGER DEADLINES:**

(45 days after calendar year end and

45 days after each of the first three calendar quarters end)

FORM TYPE	DUE DATE
Form 13F-HR	Wednesday, February 14
Form 13F-HR	Wednesday, May 15
Form 13F-HR	Wednesday, August 14
Form 13F-HR	Thursday, November 14

**THE SEC IS CLOSED FOR THE FOLLOWING HOLIDAYS:**

HOLIDAY	DATE
New Year's Day	Monday, January 1
Martin Luther King, Jr. Day	Monday, January 15
Washington's Birthday	Monday, February 19
Memorial Day	Monday, May 27
Juneteenth	Wednesday, June 19
Independence Day	Thursday, July 4
Labor Day	Monday, September 2
Columbus Day	Monday, October 14
Veterans Day	Monday, November 11
Thanksgiving Day	Thursday, November 28
Christmas Day	Wednesday, December 25
New Year's Day (2025)	Wednesday, January 1

**LARGER TRADER REGISTRATION DEADLINES:**

FORM TYPE	DUE DATE
Form 13H*	Wednesday, February 14

**OTHER FORM TYPES AND DUE DATES:**

Form 3	10 days after the individual becomes a reporting person
Form 4	2 business days after the transaction date
Form 5	45 days after Issuer's fiscal year end
Form 8-K	4 business days
Schedule 13D	10 days after the acquisition of more than 5% beneficial ownership; amendments are due promptly after material changes
Schedule 13G	45 days after fiscal year end
N-CEN	All registered investment companies, other than face-amount certificate companies – 75 days after fiscal year end. Unit investment trusts – 75 days after calendar year end
N-CSR	Due to be printed and mailed 60 days following the end of each half year by investment companies. EDGAR filing is due 10 days after the mailing date
N-PORT	All registered management investment companies or an exchange-traded fund organized as a unit investment trust (other than a money market fund/small business investment company) – 30 days after the end of each month
N-PX	Due no later than August 31 <sup>st</sup>

For additional information, please contact us directly at 954-461-5769 or email us directly at [info@borerfinancial.com](mailto:info@borerfinancial.com)

PLEASE SEE THE SEC FILING FORMS SECTION OF OUR WEBSITE FOR A COMPLETE LIST OF DOWNLOADABLE FORMS

\*Amendments to Form 13H must be filed promptly following the end of a calendar quarter in which any of the information contained in a Form 13H filing becomes inaccurate for any reason. For example, a name change, business address or organization type change.